



PATENT  
Docket: 28-7034802001  
03-0253 (US01)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

**Robert F. Rioux, et al**

**Serial No.:** 10/772,040

**Filed:** February 4, 2004

**For:** ABLATION PROBE FOR  
DELIVERING FLUID THROUGH  
POROUS STRUCTURE

**Confirmation No.:** 3903

**Group Art Unit:** 3739

**Examiner:** William, Kenneth C.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR § 1.97 and 1.98, the items identified in this Supplemental Information Disclosure Statement ("IDS") are brought to the attention of the Office. Copies of US Patents and US Patent Publications are not enclosed, pursuant to the US Patent & Trademark Office waiver of this requirement under 37 CFR § 1.98 (a)(2)(i) for patent applications filed after June 30, 2003. Copies of other cited references are enclosed.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Services on the date shown below with sufficient postage as "First Class Mail" to addressee in an envelope addressed Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

3/24/06  
Date of Deposit

Jocelyn L. Lee

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT FILING PROVISION:**

☐ This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d) or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required.

☐ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.

☐ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.

☒ This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office action on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311.

☒ The fee due under 37 CFR § 1.17(p) is submitted herewith.

☐ A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.

☐ This IDS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. A statement under 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is submitted herewith.

**STATEMENT UNDER 37 CFR § 1.97(e):**

☐ Each item contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.

☐ No item contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.

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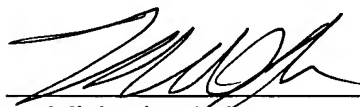
**PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:**

- ☐ A check in the amount of \_\_\_\_\_ is enclosed for the above fee(s).
- ☒ Please charge \$180.00 to Deposit Account No. **50-1105** for the above fee(s).
- ☒ The Commissioner is authorized to charge any fees required by the filing of these papers, and to credit any overpayment to Vista IP Law Group's Deposit Account No. **50-1105**.

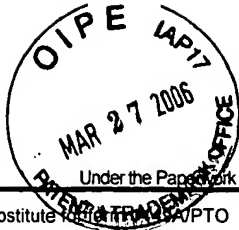
Respectfully submitted,  
VISTA IP LAW GROUP LLP

Dated: March 24, 2006

By: \_\_\_\_\_

  
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Substitute Patent Application

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

## Complete if Known

Application Number	10/772,040
Filing Date	02/04/2004
First Named Inventor	Robert F. Rioux
Art Unit	3739
Examiner Name	Williams, Kenneth C.
Attorney Docket Number	03-0253 (US01)

## U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	1.	US-4,031,891	06-28-1977	Jess	
	2.	US- 4,512,768	04-23-1985	Rangaswamy	
	3.	US- 4,571,244	02-18-1986	Kington	
	4.	US- 5,507,743	04-16-1996	Edwards et al.	
	5.	US- 5,643,197	07-01-1997	Brucker et al.	
	6.	US- 5,697,281	12-16-1997	Eggers et al.	
	7.	US- 5,697,882	12-16-1997	Eggers et al.	
	8.	US- 5,728,143	03-17-1998	Gough et al.	
	9.	US- 5,868,740	02-09-1999	LeVeen et al.	
	10.	US- 6,071,280	06-06-2000	Edwards et al.	
	11.	US- 6,210,411	04-03-2001	Hofmann et al.	
	12.	US- 6,217,554	04-17-2001	Green	
	13.	US- 6,231,570	05-15-2001	Tu et al.	
	14.	US- 6,235,023	05-22-2001	Lee et al.	
	15.	US- 2001/0001819	05-24-2001	Lee et al.	
	16.	US- 6,241,710	06-05-2001	Van Tassel et al.	
	17.	US- 6,277,115	08-21-2001	Saasat	
	18.	US- 6,425,887	07-30-2002	McGuckin et al.	
	19.	US- 6,503,225	01-07-2003	Kirsch et al.	
	20.	US-2003/0078573	04-24-2003	Truckai et al.	
	21.	US-2004/0215185	10-28-2004	Truckai et al.	
	22.	US-2005/0055019	03-10-2005	Skarda	
	23.	US-2005/0059964	03-17-2005	Fitz	

## FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	1.	WO 95/17222	06-29-1995	Angeion Corporation		

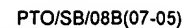
Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Sheet	1	of	1
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<i>Application Number</i>	10/772,040
<i>Filing Date</i>	02/04/2004
<i>First Named Inventor</i>	Robert R. Rioux
<i>Art Unit</i>	3739
<i>Examiner Name</i>	Williams, Kenneth C.
<i>Attorney Docket Number</i>	03-0253 (US01)

## NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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